

## Appendix 4

### PRESCRIBED FORMAT OF NOMINATION CRITERIA

#### Equestrian Sports New Zealand Incorporated

#### Tokyo 2020 Paralympic Games

#### Nomination Criteria

#### 1. Application of this Criteria

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- 1.1 This Criteria is issued by the Board of the **Equestrian Sports New Zealand** and forms part of Schedule **G** of the PNZ Selection Regulation. A copy of the PNZ Selection Regulation is available at [www.paralympics.org.nz](http://www.paralympics.org.nz).
- 1.2 This Criteria shall take effect from 20 December 2018, or such other date specified by PNZ.
- 1.3 Due to the postponement of the Paralympic Games, these criteria were updated 12 August 2020.
- 1.4 This Criteria applies to:
- a. all Athletes wishing to be considered for nomination to the Games Team to compete in the Games; and
  - b. Equestrian Sports New Zealand, including their Sport Specific Selectors (“SSS”), that wish to nominate Athletes to PNZ to be considered for selection to the Games Team for the Games.

#### 2. Definitions

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<b>Application Date</b>	means 28 August 2020, or any other date as specified by PNZ, by which Athletes must submit a completed Athlete Application to PNZ in accordance with the PNZ Selection Regulation.
<b>Athlete</b>	means a person who wishes to be considered for nomination to the Games Team.
<b>Athlete Agreement</b>	means the agreement that must be completed by any Athlete wishing to be considered for nomination and selection to the Games Team and which is attached to the PNZ Selection Regulation as <b>Appendix 2</b> .
<b>Athlete Application</b>	means the form that must be completed by any Athlete applying to be nominated and selected to the Games Team and which is attached to the PNZ Selection Regulation as <b>Appendix 1</b> .
<b>Board</b>	means the Board of <b>Equestrian Sports New Zealand</b> as constituted under the Constitution.

<b>Board Members</b>	means the members of the Board of Equestrian Sports New Zealand as defined in the Constitution.
<b>Chief Executive</b>	means the Chief Executive Officer of <b>Equestrian Sports New Zealand</b> and includes his/her nominee.
<b>Commencement Date</b>	means the date this Criteria came into force, namely 20 December 2018.
<b>Constitution</b>	means the Constitution of Equestrian Sports New Zealand
<b>Criteria</b>	means this Criteria and is also known as the “Nomination Criteria”.
<b>DFSNZ</b>	means Drug Free Sport New Zealand.
<b>Games</b>	means the Tokyo 2020 Paralympic Games to be held in Tokyo, Japan from 24 August – 5 September 2021.
<b>Games Team</b>	means the New Zealand Paralympic Team selected in accordance with this Regulation to compete in the Games and excludes any Athletes selected as reserves.
<b>General Nomination Criteria</b>	means the criteria set out in clause 5.3.
<b>IF</b>	means an International Sports Federation.
<b>IPC</b>	means the International Paralympic Committee.
<b>Member</b>	means the members of PNZ as specified in the Constitution.
<b>Nominated Athlete</b>	means an Athlete nominated by Equestrian Sports New Zealand in accordance with the PNZ Selection Regulation.
<b>Nomination Criteria</b>	means the criteria made up of the General Nomination Criteria, the Over-Riding Nomination Criteria and the Specific Nomination Factors, and is also referred to as “this Criteria”.
<b>Nomination Date</b>	means <b>31 March 2021</b> , or any other date specified by PNZ, by which <b>Equestrian Sports New Zealand</b> must submit any Nominated Athletes to PNZ.

<b>NSO</b>	means a National Sports Organisation.
<b>Over-Riding Nomination Criteria</b>	means the criteria set out in clause 5.4.
<b>PNZ</b>	means Paralympics New Zealand Incorporated.
<b>PNZ Selection Regulation</b>	means the regulation adopted by the PNZ Board to clearly document and make available to all Athletes and Sports Organisations the application, nomination and selection process to be used by PNZ in selecting Athletes for the Games Team to compete in the Games.
<b>Qualifying Test</b>	means a Team or Individual Test at any CPEDI3* or a test judged by at least one foreign FEI PE Judge at the NZ Para-Dressage National Championships, the NZ Horse of the Year show or such other events (being national events domestically or overseas or able-bodied dressage events) as approved in advance by the ESNZ High Performance Director on the basis of being at an international standard. At a CPEDI3* Event, the total test score shall count. At all other events, only the scores of the FEI PE Judges will be considered, if there is more than one FEI PE Judge, the average of the scores of the FEI PE Judge(s) will be considered.
<b>Supporting Evidence</b>	means the supporting evidence set out in clause 5.5
<b>Specific Nomination Factors</b>	means the nomination factors set out in clause 5.6
<b>Sports Organisation</b>	means any NSO that is a Member of PNZ.
<b>SSS</b>	means sport specific selectors appointed by the Equestrian Sports New Zealand
<b>Team</b>	means a group of Athletes in a team event.
<b>WADA</b>	means the World Anti-Doping Agency.

Unless specified otherwise, any other terms used in this Criteria shall have the meanings set out in the PNZ Selection Regulation.

### 3. **Sport Specific Selectors**

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**3.1 Composition:** The Sport Specific Selectors from Equestrian Sports New Zealand shall be appointed by **Equestrian Sports New Zealand**. The SSS from **Equestrian Sports New Zealand** who will consider nomination of Athletes to PNZ to be considered for selection in the Games Team are:

- a. Helen Hughes-Keen
- b. Judy Alderdice

### 4. **Nomination Procedure**

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**4.1 Nomination if Criteria met:** If the conditions of nomination set out in the PNZ Selection Regulation are met to the satisfaction of the SSS, the SSS shall then nominate those Athletes it considers meet the Nomination Criteria set out below.

### 5. **Nomination Criteria**

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**5.1 Nomination Criteria:** The **Equestrian Sports New Zealand** Nomination Criteria for nomination to the Games Team is made up of the following parts:

- a. the General Criteria specified in clause 5.3;
- b. the Over-Riding Criteria specified in clause 5.4; and
- c. the Supporting Evidence specified in clause 5.5

**5.2** In determining the General Nomination Criteria and the Over-Riding Nomination Criteria the SSS must also take into account:

- a. any Specific Nomination Factors specified in clause 5.6 of this criteria;

**5.3 General Nomination Criteria:** Firstly, taking into account the Specific Nomination Factors, the SSS **must** be satisfied that the Athlete:

- a. has met the conditions of nomination specified in clause 8.2 of the PNZ Selection Regulation;
- b. be internationally classified with a “confirmed “ sport class status or a “review” sport class status with a review date after 31 December 2021; and
- c. and the horse, as a combination, must have achieved at least one (1) sixty two (62%) percent score at a FEI Para Equestrian 3\* or above event in an individual or Team competition between 1 January 2019 and 5 July 2021
- d. will, in the opinion of the SSS, be an effective and harmonious member of the Games Team;

- e. has not acted in such a manner so as to bring the Athlete, the sport, Equestrian Sports New Zealand or PNZ into public disrepute; and
- f. in the opinion of the SSS, has acted and will continue to act, as a positive role model to all New Zealand athletes.

**5.4 Over-Riding Nomination Criteria:** Secondly, taking into account the Specific Nomination Factors, the SSS **must** be satisfied overall that, in their opinion, that the Athlete or Team has met the following:

**5.4.1 Individuals:** An Athlete and their horse being considered for individual events, has demonstrated to the satisfaction of the SSS that he or she is capable of:

- a. achieving a medal placing in the Games in their event; or
- b. achieving a top eight (8) placing in the Games in their event and a medal placing at the Paris 2024 Paralympic Games.

**5.4.2 Teams:** A Team has demonstrated to the satisfaction of the SSS that the Team is capable of:

- a. achieving a medal placing in the Games in their event; or
- b. achieving a top five (5) placing in the Games in their event **and** a medal placing at the Paris 2024 Paralympic Games.

**5.5 Supporting Evidence:** In demonstrating an Athlete's or Team's capability, the SSS must assess the evidence showing tracking of the Athlete's and Team's performances and results. This evidence may include, but is not limited to, the following information:

- a. The shortfall, if any, in performance to achieve a medal placing based on the performances and results to date;
- b. The rate of the Athlete's or Team's progression and forecasted placing at the Games;
- c. The rate of the Athlete's or Team's progression and forecasted placing at the Tokyo 2020 Paralympic Games;
- d. The rate of progression of other athletes and teams from other nations in that event; and
- e. The likelihood of athletes or teams from other nations being selected for the Games (based on that nation's selection criteria).

**5.6 Specific Nomination Factors:** When considering the General Nomination Criteria and the Over-riding Nomination Criteria above, the SSS **shall** also take into account any one or more of the following factors about an Athlete, horse and a Team:

- a. the performance and results of the Athlete, horse or Team in which the Athlete and horse was a member, including consistency of performance and results at events (particularly internationally), competitions, and activities (such as camps and trials), including whether the athlete and horse, as a combination, have achieved four (4) scores of seventy percent or greater ( $\geq 70\%$ ) where the average of the two (2) best scores is seventy two percent or greater ( $\geq 72\%$ ) in Qualifying Tests at the Grade for which they have been nominated in the period two (2) years preceding the possible selection of the Nominated Athlete;
- b. the performance and results of the Athlete, or Team in which the Athlete was a member, including consistency of performance and results at events (particularly internationally), competitions, and activities (such as camps and trials), including whether the athlete and horse, as a combination, have achieved scores of seventy percent or greater ( $\geq 70\%$ ) in the year of 2020 and 2021;
- c. adequate fitness and a commitment to maintain a fitness and training programme as agreed with the applicable coach(es);
- d. demonstrated good behaviour, including a commitment to training and attendance at training camps;
- e. demonstrated compatibility with others in a team environment;
- f. demonstrated compliance with the rules of events and competitions;
- g. understanding and respect for the position on the Team and/or when competing in the Games Team at the Games, including respect for team members and officials;
- h. willingness to promote PNZ in a positive manner;
- i. demonstrated ability to take personal responsibility for self and their results;
- j. proven ability to be reliable; and
- k. any other factor(s) the SSS considers relevant.

In considering any one or more of the above factors, the SSS may make such enquiries of the Nominated Athletes, or other persons, as it sees fit.

**5.7 Weight to be given to Specific Nomination Factors:** The SSS **may** give weight to any one or more of the Specific Nomination Factors and if it does, to apply such weighting to one or more Athletes. No particular factor shall be weighted more or less significantly by reason only of the order in which that factor appears in this Criteria.

**5.8 Extenuating Circumstances:** In any decision regarding the nomination of Athletes and Teams to PNZ for the Games Team, the SSS may, in their sole discretion, take into account any extenuating circumstances in accordance with clause 5.12 below.

**5.9 Nomination Procedure:** After consideration of the Nomination Criteria the SSS shall follow the procedure set out in the PNZ Selection Regulation.

**5.10 Overriding Discretion:** Notwithstanding the criteria, in considering Athletes, horses and Teams for selection, PNZ has an absolute overriding discretion to select (or not select) Athletes and horses or Teams (including those who may not meet the above criteria) for the Games Team. In doing so it may take into account factors such as, but not limited to, compatibility of Athletes, Athletes prior conduct, or factors that may affect the Games Team as a whole.

**5.11 Extenuating Circumstances:** PNZ may in its sole discretion take into account extenuating circumstances and therefore waive or vary some or all of the above criteria. Detailed evidence must be provided with any nomination seeking consideration of extenuating circumstances which has affected an athlete's/horses'/team's progress. For the purpose of this Policy, "extenuating circumstances" means the inability of an Athlete and horse or Team to compete or perform to an optimum level due to factors such as:

- a. injury or illness of an athlete or horse;
- b. equipment failure;
- c. travel delays;
- d. bereavement or personal misfortune; and
- e. such other circumstances as the selectors reasonably consider constitute "extenuating circumstances."

**5.12** Athletes unable to compete at events, trials, or other attendances required under this Criteria, must advise the Chief Executive of the extenuating circumstances, and reasons, in writing, with as much advance notice as possible and ideally 5 working days prior to the commencement of the event, trial or other attendance. If the Chief Executive is not notified of any extenuating circumstances in accordance with this Criteria, then the SSS has no obligation to rely on such circumstances. In the case of injury or illness, Athletes may be required by the SSS to provide a medical certificate or vet certificate and/or to undergo an examination by a health practitioner/s or vet nominated by the SSS, and to provide that opinion and/or report to the SSS. Any failure to agree to such a request may result in the SSS being unable to consider the injury or illness as an extenuating circumstance.

**5.13** In the case of any extenuating circumstance/s, the SSS will make a nomination decision on a case by case basis.

## **6 Appeal Procedure**

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**6.1 Nomination Appeals:** Any Athlete who has applied for nomination and selection to the Games Team and who has returned a completed Athlete Application to PNZ by the Application Date and an

Athlete Agreement to PNZ by the Nomination Date may appeal to the **Equestrian Sports New Zealand** against their non-nomination to PNZ by the SSS of the **Equestrian Sports New Zealand** in accordance with the procedures set out in the PNZ Selection Regulation.

## **7 Inconsistencies**

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- 7.1 In the event there is any inconsistency between this Criteria and the PNZ Selection Regulation, the PNZ Selection Regulation shall prevail.
- 7.2 If this Criteria imposes a higher qualification standard or a lesser number of participants than stated by the IPC this shall not be regarded as an inconsistency.

## **8 Amendments to this Criteria**

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- 8.1 This Criteria may be amended or supplemented from time to time by the Board.
- 8.2 The Board will give as much notice as possible of any amendment/s or supplement/s to this Regulation, to persons it considers may be affected by any such amendment/s or supplement/s.
- 8.3 This Criteria includes any amendments to the IPC rules, regulations, policies and codes.